

PERMIT TRANSFER FORM

Please select one of the following options:

A. Permittee (legal name) change

B. Facility name change

C. Responsible official name change

A

B

C

A & B

A & C

B & C

A & B & C

PERMIT NUMBER: 4908-W

I. CURRENT PERMITTEE INFORMATION

Permittee (legal name):

Benton County Water District #1

Facility Name:

Deer Haven Subdivision

Responsible Official Name (see Section IV below):

Edwin Cooper

Is the permittee identified above, the owner of the facility?

Yes No

If you mark No, please list the name of the owner:

First Asset Holding, LLC

II. NEW PERMITTEE INFORMATION

Permittee (legal name):

First Asset Holdings, LLC

Facility Name (if different from Permittee Name):

Deer Haven Subdivision

Is the permittee identified above, the owner of the facility?

Yes No

If you mark No, please list the name of the owner:

Responsible Official Name (see Section IV below):

Charles B. Cook

Official Title of Responsible Officer:

Senior VP of FNB

Owner Type:

Permittee Address:

PO Box 7

STATE

PARTNERSHIP

Permittee City:

Fort Smith

FEDERAL

CORPORATION*

Permittee State:

AR

Zip:

72902

SOLE PROPRIETORSHIP

Permittee Telephone No.:

479-788-4309

Is the new permittee registered with the Arkansas Secretary of State?

Yes

No

If yes, please provide the full name of corporation if different than the legal permittee name listed above.

Facility Mailing Address:

PO Box 7

Facility City:

Fort Smith

Facility State:

AR

Zip:

72902

Facility Contact Person Name:

Charles B. Cook

Contact Person Title:

Senior VP of FNB

Telephone Number:

479-788-4309

Fax Number:

E-mail:

charlescook@fnbfs.com

Invoice Contact Person:

Charles B Cook

City:

Fort Smith

Invoice Mailing Address:

PO Box 7

State:

AR

Zip: 72902

Invoice Mailing Address:

Telephone:

479-788-4309

Cognizant Official Name:

Charles B. Cook

Cognizant Official Title:

Senior VP of FNB

Telephone Number:

479-788-4309

Fax Number:

479-788-4602

E-mail:

charlescook@fnbfs.com

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

5301 NORTHSHORE DRIVE / NORTH LITTLE ROCK / ARKANSAS 72118-5317 / TELEPHONE 501-682-0744 / FAX 501-682-0880

www.adeq.state.ar.us


PERMIT TRANSFER FORM

III. OWNERSHIP CHANGE AGREEMENT

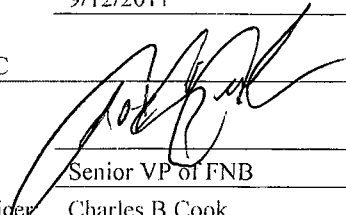
Please note you must complete this Section (III.) only if the permit has a new owner or a new ownership.

Please specify the closing date for this transaction: _____

Current Permittee (Seller): Benton County Water District #1

Signature of Responsible Corporate Officer: 
Title of Responsible Corporate Officer: Manager
Printed Name of Responsible Corporate Officer: Edwin Cooper
Date: 9/12/2011

New Permittee (Buyer): First Asset Holding, LLC

Signature of Responsible Corporate Officer: 
Title of Responsible Corporate Officer: Senior VP of FNB
Printed Name of Responsible Corporate Officer: Charles B Cook
Date: 9/12/2011

Disclosure Statement:

Disclosure Statement must be submitted for new permittee. **Disclosure Statement is not required for Stormwater Permits.**

Is Disclosure Statement enclosed: Yes No

Financial Assurance:

Please note that if Financial Assurance is required for the current permittee then the new permittee may have to provide new Financial Assurance before the permit maybe transferred..

Land Use Contract:

For **land application permits** you must submit a new land use contracts for all the sites permitted under the current permit for land application. The new land use contract must be signed by the new permittee and land owner.

IV. CERTIFICATION OF NEW PERMITTEE

"I certify that the cognizant official designated in this Permit Transfer Form (Section II) is qualified to act as a duly authorized representative under the provisions of 40 CFR Part 122.22(b). If no cognizant official has been designated, I understand that the Department will accept reports signed by the applicant. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

In addition, I certify that there will be no operational changes that warrant a permit modification. (Please note that if there are changes that warrant a permit modification, then you must submit a complete application, updated plans, design calculations and specifications, and the permit modification fee along with this Ownership Change Form. The transfer may be made effective prior to permit modification.)

Typed or Printed Name: Charles B Cook Title: Senior VP of FNB
Signature:  Date: 9/12/2011

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY DISCLOSURE STATEMENT

Instructions for the Completion of this Document:

- A. Individuals, firms or other legal entities with no changes to an ADEQ Disclosure Statement, complete items 1 through 5 and 18.
- B. Individuals who never submitted an ADEQ Disclosure Statement, complete items 1 through 4, 6, 7, and 16 through 18.
- C. Firms or other legal entities who never submitted an ADEQ Disclosure Statement, complete 1 through 4, and 6 through 18.

Mail to:
ADEQ
DISCLOSURE STATEMENT
[List Proper Division(s)]
5301 Northshore Drive
North Little Rock, AR 72118-5317

Hand Deliver to:
ADEQ
DISCLOSURE STATEMENT
[List Proper Division (s)] .
5301 Northshore Drive
North Little Rock, AR 72118-5317

1. APPLICANT: (Full Name)

First Asset Holding, LLC

2. MAILING ADDRESS (Number and Street, P.O.Box Or Rural Route) :

PO Box 7

3. CITY, STATE, AND ZIPCODE:

Fort Smith, AR 72902

4. (check all that apply.)

- Individual Corporate or Other Entity
- Permit License Certification Operational Authority
- New Application Modification Renewal Application (If no changes from previous disclosure statement, complete number 5 and 18.)
- Air Water Hazardous Waste Regulated Storage Tank Mining Solid Waste
- Environmental Preservation and Technical Service

5. Declaration of No Changes:

The violation history, experience and credentials, involvement in current or pending environmental lawsuits, civil and criminal, have not changed since the last Disclosure Statement I filed with ADEQ on _____

Signature of Individual or Authorized Representative of Firm or Legal Entity
(Also complete #18.)

6. Describe the experience and credentials of the Applicant, including the receipt of any past or present permits, licenses, certifications or operational authorization relating to environmental regulation. (Attach additional pages, if necessary.)

None

7. List and explain all civil or criminal legal actions by government agencies involving environmental protection laws or regulations against the Applicant * in the last ten (10) years including:

1. Administrative enforcement actions resulting in the imposition of sanctions;
2. Permit or license revocations or denials issued by any state or federal authority;
3. Actions that have resulted in a finding or a settlement of a violation; and
4. Pending actions.

(Attach additional pages, if necessary.)

None

* Firms or other legal entities shall also include this information for all persons and legal entities identified in sections 8-16 of this Disclosure Statement.

8. List all officers of the Applicant. (Add additional pages, if necessary.)

NAME: Charles B Cook TITLE: Senior VP of FNB

STREET: PO Box 7

CITY, STATE, ZIP: Fort Smith, AR 72902

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

9. List all directors of the Applicant. (Add additional pages, if necessary.)

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

10. List all partners of the Applicant. (Add additional pages, if necessary.)

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

11. List all persons employed by the Applicant in a supervisory capacity or with authority over operations of the facility subject to this application.

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

12. List all persons or legal entities, who own or control more than five percent (5%) of the Applicant's debt or equity.

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

13. List all legal entities, in which the Applicant holds a debt or equity interest of more than five percent (5%).

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

14. List any parent company of the Applicant. Describe the parent company's ongoing organizational relationship with the Applicant.

NAME: None _____

STREET: _____

CITY, STATE, ZIP: _____

Organizational Relationship:

15. List any subsidiary of the Applicant. Describe the subsidiary's ongoing organizational relationship with the Applicant.

NAME: None _____

STREET: _____

CITY, STATE, ZIP: _____

Organizational Relationship:

16. List any person who is not now in compliance or has a history of noncompliance with the environmental laws or regulations of this state or any other jurisdiction and who through relationship by blood or marriage or through any other relationship could be reasonably expected to significantly influence the Applicant in a manner which could adversely affect the environment.

NAME: None TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

17. List all federal environmental agencies and any other environmental agencies outside this state that have or have had regulatory responsibility over the Applicant.

None

18. VERIFICATION AND ACKNOWLEDGEMENT

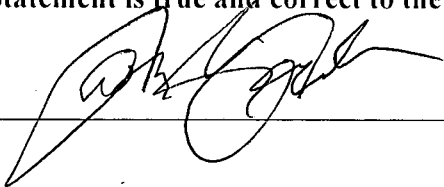
The Applicant agrees to provide any other information the director of the Arkansas Department of Environmental Quality may require at any time to comply with the provisions of the Disclosure Law and any regulations promulgated thereto. The Applicant further agrees to provide the Arkansas Department of Environmental Quality with any changes, modifications, deletions, additions or amendments to any part of this Disclosure Statement as they occur by filing an amended Disclosure Statement.

DELIBERATE FALSIFICATION OR OMISSION OF RELEVANT INFORMATION FROM DISCLOSURE STATEMENTS SHALL BE GROUNDS FOR CIVIL OR CRIMINAL ENFORCEMENT ACTION OR ADMINISTRATIVE DENIAL OF A PERMIT, LICENSE, CERTIFICATION OR OPERATIONAL AUTHORIZATION.

State of Arkansas

County of Logan

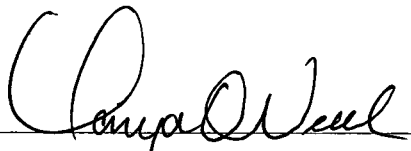
I, Charles B. Cook, swear and affirm that the information contained in this Disclosure Statement is true and correct to the best of my knowledge, information and belief.

APPLICANT SIGNATURE: 

COMPANY TITLE: Sr. Vice President of First National Bank

DATE: 9/26/11

SUBSCRIBED AND SWORN TO BEFORE ME THIS 26th DAY OF September 20 11


NOTARY PUBLIC

MY COMMISSION EXPIRES:

TANYA O'NEAL
NOTARY PUBLIC-ARKANSAS
LOGAN COUNTY
My Commission Expires 05-13-2015

State Permitted Facility
Operation & Maintenance (O&M) Expense Estimate

State Water Permit No. 4901-W

Date: 9/18/2011

By: Greenfield Capital Development for First Asset Holding LLC

O&M Expense Categories	Units/Year	Unit Cost	Annual Cost	5-Year Cost ¹
Operating Expenses				
Operating Labor ²	12	700	8400.00	44,520.00
Electricity ³	12	184	2208.00	11,703.00
Supplies & Chemicals				
Analytical Testing	16	110.00	1760.00	9,327.00
Generator Fuel				
Maintenance Expenses				
Maintenance Labor ²				
Parts & Supplies				
Building Materials				
Administrative Expenses				
Administrative Labor ²				
Customer Fee Collection				
Insurance & Bonding				
Consulting & Legal Fees	1	500	500.00	2650.00
Interest Expenses				
Property Taxes				
Permit Fees	1	500	500.00	2650.00
Other Miscellaneous Expenses				
TOTAL				70850.00

Notes:

- 1 Assuming no inflation data are available, assume an inflation rate of 3% in years two through five and multiply the annual cost by 5.3 to estimate the five-year cost.
- 2 Labor costs must include fringe benefits and payroll taxes.
- 3 For existing facilities, include historical data if they are representative of future operations. For new facilities, show the electricity consumption calculations in kilowatt hours (kWh).

Greenfield Capital
Development
PO Box 9299
Fayetteville, AR 72703



ADEQ Water Division
Permits Branch
5301 Northshore Dr
N Little Rock, AR 72118-5317

